ttorney's Docket No. 80398.P425

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
Maritzen, et al.) Examiner: Not yet assigned
Application No.: 09/929,960) Art Unit: 2131
Filed: August 15, 2001	RECEIVED
For: Method and Apparatus for	JUN 1 0 2004
Preventing an Unauthorized Transaction	Technology Center 2100
Commissioner for Patents	•
P.O. Box 1450	
Alexandria, Virginia 22313-1450	

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Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated MAY 28, 2004 2

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Com	plete if Known		
Application Number	09/929,960	/ 0\'	E "
Filing Date	8/15/01		[in
First Named Inventor:	Maritzen	O NUL	4 2004 55
Group Art Unit	2131	12	5
Examiner Name	Not yet assign	ned	ADEMARIE
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U.S. PATENT DOCUMENTS									
Exam. Initial*	Cite No.		Document		Name of Patentee or Applicant of Cited Document				Pages, Columns, Lines, Where Relevant
Illiuai	140.	Number Code ²	Kind (If known)					DD-YYYY	Passages or Relevant Figures Appear
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		6,609,113	B1		O'Le	eary, et al.	8/	19/03	OFIVED
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FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹		n Patent De Number⁴	ocument Kind Code ⁵ (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner	Date Considered	
Signature		

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/929,960	0116	<i>⊗</i>			
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.



Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
А9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
В1	Patent	No previously published pre-grant publication
В2	Patent	Having a previously published pregrant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
Н	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pregrant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
Р9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

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